FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GLAUKO.035A APPLICATION NO. 10/687,580

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(SE SEVERAL SHEETS IF NECESSARY)

APPLICANT David S. Haffner et al.

FILING DATE September 22, 2003 GROUP 3731

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TATE TRAD	EVIRT			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ZI	1	DES 490,152	05/18/04	Myall et al.			
1	2	RE35,390	12/03/96	Smith			
	3	3,788,327	01/29/74	Donowitz et al.			
	4	4,037,604	07/26/97	Newkirk		\	
	5	4,168,697	09/25/79	Cantekin			
	6	4,175,563	11/27/79	Arenberg et al.			
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	8	4,428,746	01/31/84	Mendez			
	9	4,501,274	02/26/85	Skjaerpe		\	
	10	4,521,210	06/04/85	Wong			\ /
	11	4,554,918	11/26/85	White			<u> </u>
	12	4,604,087	08/05/86	Joseph			<u> </u>
	13	4,632,842	12/30/86	Karwoski et al.		<u> </u>	
	14	4,634,418	01/06/87	Binder			/
	15	4,718,907	01/12/88	Karwoski et al.		ļ,	/
	16	4,722,724	02/02/88	Schocket		/	<u> </u>
	17	4,733,665	03/29/88	Palmaz			
	18	4,750,901	06/14/88	Molteno		/_	
	19	4,787,885	11/29/88	Binder		<u> </u>	
	20	4,804,382	02/14/89	Turina et al.		1/	\\
	21	4,820,626	04/11/89	Williams et al.		<u>/</u>	
	22	4,846,172	07/11/89	Berlin		4	\
	23	4,863,457	09/05/89	Lee	/_		
1	24	4,886,488	12/12/89	White	/_		
Y.	25	4,900,300	02/13/90	Lee			
97	26	4,936,825	06/26/90	Ungerleider	1/	<u> </u>	

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*EXAMINER: INTIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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U.S. PATENT DOCUMENTS DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE **EXAMINER** (IF APPROPRIATE) INITIAL 27 4,946,436 08/07/90 Smith 4,968,296 11/06/90 Ritch et al. 28 29 5,041,081 08/20/91 Odrich 12/17/91 30 5.073,163 Lippman 03/03/92 Ritch et al. 5,092,837 31 03/17/92 | Leon et al. 32 5,095,887 07/07/92 33 5,127,901 Odrich Vassiliadis et al. 07/14/92 34 5,129,895 35 5,171,213 12/15/92 | Price, Jr. 36 5,178,604 01/12/93 | Baerveldt et al. 37 5,180,362 01/19/93 | Worst 09/21/93 Trescony et al. 38 5,246,451 03/01/94 Querals et al. 5,290,295 39 04/05/94 L'Esperance, Jr. 40 5,300,020 06/07/94 Leib et al. 41 5,318,513 5,334,137 08/02/94 | Freeman 42 08/16/94 | Speckman et al. 43 5,338,291 44 5,346,464 09/13/94 Camras 11/01/94 Stegmann 5,360,399 45 46 5,370,607 12/06/94 Memmen 12/06/94 O'Donnell, Jr. 47 5,370,641 5,372,577 48 12/13/94 Ungerleider 03/14/95 Baerveldt et al. 5,397,300 49 5,433,701 07/18/95 Rubinstein 50 51 5,454,796 10/03/95 Krupin Beckman 52 5,472,440 12/05/95

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FORM PTO-1449

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	DISCLOSURE STATEMENT APPLICANT	APPLICANT David S. Haffner et al.		
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XI	79	5,766,243	06/16/98	Christensen et al.				
01	80	5,785,674	07/28/98	Mateen				
	81	5,807,302	09/15/98	Wandel				
	82	5,810,870	09/22/98	Myers et al.				
	83	5,830,139	11/03/98	Abreu				
	84	5,830,171	11/03/98	Wallace				
	85	5,836,939	11/07/98	Negus et al.				
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	88	5,879,319	03/09/99	Pynson et al.				
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	90	5,886,822	03/23/99	Spitzer				
	91	5,893,837	04/13/99	Eagles et al.				
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	93	5,932,299	08/03/99	Katoot				
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	95	5,981,598	11/09/99	Tatton		/		
	96	6,004,302	12/21/99	Brierley				
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	99	6,033,434	03/07/00	Borghi		/		
	100	6,045,557	04/04/00	White et al.				
	101	6,050,970	04/18/00	Baerveldt	$\Box 7$			
	102	6,059,772	05/09/00	Hsia et al.				
	103	6,063,116	05/16/00	Kelleher				
A	104	6,063,396	05/16/00	Kelleher	J			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)		
XX	105	6,059,812	05/09/00	Clerc et al.	1		<i></i>		
	106	6,071,286	06/06/00	Mawad					
	107	6,077,299	06/20/00	Adelberg et al.					
	108	6,102,045	08/15/00	Nordquist et al.					
	109	6,142,990	11/07/00	Burk					
	110	6,165,210	12/26/00	Lau et al.					
	111	6,168,575	01/02/01	Soltanpour					
	112	6,174,305	01/16/01	Mikus et al.					
	113	6,187,016	02/13/01	Hedges et al.			\		
	114	6,193,656	02/27/01	Jeffries et al.			X		
	115	6,197,056	03/06/01	Schachar			/\		
	116	6,203,513	03/20/01	Yaron et al.					
	117	6,228,873	05/08/01	Brandt et al.					
	118	6,231,597	05/15/01	Deem et al.					
	119	6,241,721	06/05/01	Cozean et al.		1			
	120	6,254,612	07/03/01	Hieshima					
	121	6,266,182	07/24/01	Morita			\		
	122	6,268,398	07/31/01	Ghosh et al.					
	123	6,342,058	01/29/02	Portney					
	124	6,375,642	04/23/02	Grieshaber et al.					
	125	6,428,501	08/06/02	Reynard ·					
	126	6,450,984	09/17/02	Lynch et al.					
	127	6,464,724	10/15/02	Lynch et al.					
	128	6,524,275	02/25/03	Lynch et al.					
TXX	129	6,533,768	03/18/03	Hill	1				

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SI	130	6,544,249	04/08/03	Yu et al.				,
0	131	6,585,680	07/01/03	Bugge				7
	132	6,626,858	09/30/03	Lynch et al.		\int		/
	133	6,629,981	10/07/03	Bui et al.		\int		
	134	6,638,239	10/28/03	Bergheim et al.		7		/
	135	6,666,841	12/23/03	Gharib et al.				
	136	6,699,211	03/02/04	Savage				
	137	6,736,791	05/18/04	Tu et al.				/
	138	6,780,165	08/24/04	Bergheim et al.				
	139	2002/0133168	09/12/02	Smedley et al.		-		1
	140	2002/0143284	10/03/02	Tu et al.				/
	141	2002/0169130	11/14/02	Tu et al.				1
	142	2002/0188308	12/12/02	Tu et al.				V .
	143	2003/0009124	01/09/03	Lynch et al.				\wedge
	144	2003/0055372	03/20/03	Lynch et al.			/	
	145	2003/0060752	03/27/03	Bergheim et al.				
	146	2003/0069637	04/10/03	Lynch et al.				
	147	2003/0088260	05/08/03	Smedley et al.				
	148	2003/0097151	05/22/03	Smedley et al.			/	
	149	2003/0120200	06/26/03	Bergheim et al.			/	
	150	2003/0181848	09/25/03	Bergheim et al.			/	
1	151	2003/0187384	10/02/03	Bergheim et al.			(
$\overline{}$	152	2003/0187385	10/02/03	Bergheim et al.		7		
X	153	2003/0220602	11/27/03	Lynch et al.		7		
91	154	2003/0220603	11/27/03	Lynch et al.	1			

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	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY, DOCKET NO. GLAUKO.035A	APPLICATION NO. 10/667,580	
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	(USE SEVERAL	L SHEETS IF NECESSARY)	FILING DATE September 22, 2003	GROUP 3731	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
AX.	155	2003/0229303	12/11/03	Haffner et al.			
	156	2003/0236484	12/25/03	Lynch et al.	, /		
	157	2004/0024345	02/05/04	Gharib et al.			
	158	2004/0050392	03/18/04	Tu et al.			
	159	2004/0102729	05/27/04	Haffner et al.			
V	160	2004/0111050	06/10/04	Smedley et al.			\ ./
191	161	2004/0127843	07/01/04	Tu et al.			

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EXAMINE	1	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	ATION
INITIAL							YES	NO
A	162	200072059 A1	12/06/00	Australia				
M.	163	2,244,646	08/11/98	Canada				
	164	0 858 788 A1	08/19/98	Europe				
	165	0 898 947 A2	03/03/99	Europe		\		
	166	1 114 627 A1	11/30/00	Europe				
	167	2 710 269	09/22/93	France				
	168	198 40 047 A1	03/23/00	Germany				
	169	11-123205	1/105/99	Japan				
	170	WO 89/00869	02/09/89	PCT				
	171	WO 91/18568	12/12/91	PCT				
	172	WO 92/19294	11/12/92	PCT			\	
	173	WO 94/13234	06/23/94	PCT				
A	174	WO 94/21205	09/29/94	PCT				
W	175	WO 95/08310	03/30/95	PCT	7			

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INITIAL						ı		YES	NO
QA.	176	WO 98/30181	01/12/98	PCT					
	177	WO 98/35639	08/20/98	PCT					
	178	WO 99/26567	06/03/99	PCT					
	179	WO 99/30641	06/24/99	PCT					
	180	WO 99/38470	08/05/99	PCT				/	
	181	WO 00/13627	03/16/00	PCT					·
	182	WO 00/64389	04/26/00	PCT					
	183	WO 00/64390	04/26/00	РСТ					
	184	WO 00/64391	04/26/00	PCT				X	
	185	WO 00/64393	11/02/00	PCT					
	186	WO 00/72788	12/07/00	РСТ					
	187	WO 01/50943	07/19/01	PCT				-	
	188	WO 01/78631	10/25/01	РСТ			/	/	
	189	WO 01/78656	10/25/01	PCT			/		
	190	WO 03/015659	02/27/03	PCT		7			
V.	191	WO 03/073968	09/12/03	РСТ		T			
DA.	192	2 296 663 A	07/10/96	United Kingdom	17	_			

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SX	193	PCT Publication - WO 01/50943, International Publication Date July 19, 2001.
180	194	Detlev Spiegel, 7 Chirurgische Glaukomtherapie, pp. 79-88.
M	195	L. Jay Katz, M.D., A Call for Innovative Operations for Glaucoma, Arch Ophthalmology, March 2000, vol. 118, pp. 412-413.

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R/	Anselm Kampik and Franz Grehn, Nutzen und Risiken augenärztlicher Therapie, Hauptreferate d Essener Fortbildung für Augenärzte, December 1998. (English translated version enclosed Benefits Ophthalmological Therapy.)								
	197	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Krieglstein, MD., Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Cronic Open-Angle Glaucoma, American Journal of Ophthalmology, May 1999, pp. 505-510.							
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	200	Arthur L. Schwartz, MD, and Douglas R. Anaderson, MD, Trabeculaar Surgery, Arch Ophthalmol, vol. 92, August 1974, pp. 134-138.							
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	203	W.M. Grant, MD, Further Studies on Facility of Flow Through the Trabecular Meshwork, A.M.A. Archives of Ophthalmololgy, Oct. 1958, vol. 60, pp. 523-533.							
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	205	Detlev Spiegel, MD, Karin Kobuch, MD, Richard A. Hill, MD, Ronald L. Gross, MD, Schlemm's Canal Implant: A new Method to Lower Intraocular Pressure in Patients With POAG, Ophthalmic Surgery and Lasers, June, 1999, vol. 30, No. 6, pp. 492-494.							
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David S. Haffner et al.

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